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In re application of

Koji ARAKAWA

Appln. No.: 09/854,479

Confirmation No.: 7886

Filed: May 15, 2001

For: VOICE INTERCEPTION SYSTEM FOR

MOBILE SWITCHING SYSTEM

PAPER(S) FILED ENTITLED:

1. INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98, together with copy of Communication from the European Patent Office dated February 6, 2004, Form PTO/SB/08, and copies of 3 references.

SUGHRUE MION, PLLC

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washington office 23373

CUSTOMER NUMBER

Docket No.: Q64485

Group Art Unit: 2661

Examiner: not yet assigned

DOCKET NO.: Q64485

Date Filed: March 4, 2004

ATTORNEY/SEC: HLB/mkp/



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No.: Q64485

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

FILED

MAR 0 4 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from the European Patent Office dated February 6, 2004.

- 1. 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Law Interception Architecture and Functions (3G TS 33.107 version 3.0.0 Release 1999), XP-002267146, dated December 1999, retrieved January 16, 2004.
 - 2. U.S. Patent No. 5,913,161 dated June 15, 1999.
 - 3. U.S. Patent No. 5,892,811 dated April 6, 1999.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/854,479

Atty. Docket No.: Q64485

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: March 4, 2004

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Complete if Known				
Application Number	09/854,479			
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First Named Inventor	Koji ARAKAWA			
Art Unit	2661			
Examiner Name	not yet assigned			
Attorney Docket Number	Q64485			
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U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number		Publication Date		
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Documer	
		US 5,913,161		06-15-1999	Esref OZULKULU, et al.	
	-	US 5,892,811		04-06-1999	Peter Joseph ARMBRUSTER, et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	m - 1 - 4 - 6
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
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	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		
		3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Law Interception Architecture and Functions (3G TS 33.107 version 3.0.0 Release 1999), XP-002267146, dated December 1999, retrieved January 16, 2004.			

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Examiner Signature	Date Considere	ed \

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.